

2018 Current Fiscal Year Report: National Advisory Mental Health Council

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1. Department or Agency

Department of Health and Human Services

2. Fiscal Year

2018

3. Committee or Subcommittee

National Advisory Mental Health Council

3b. GSA Committee No.

954

4. Is this New During Fiscal Year?

No

5. Current Charter

12/24/2016

6. Expected Renewal Date

12/24/2018

7. Expected Term Date

8a. Was Terminated During Fiscal Year?

No

8b. Specific Termination Authority

8c. Actual Term Date

9. Agency Recommendation for Next Fiscal Year

Continue

10a. Legislation Req to Terminate?

Not Applicable

10b. Legislation Pending?

Not Applicable

11. Establishment Authority Statutory (Congress Created)

12. Specific Establishment Authority

42 U.S.C. 284a

13. Effective Date

11/20/1985

14. Committee Type

Continuing

14c. Presidential?

No

15. Description of Committee Other Committee

16a. Total Number of Reports

No Reports for this Fiscal Year

17a. Open 0 17b. Closed 0 17c. Partially Closed 3 Other Activities 0 17d. Total 3 Meetings and Dates

Purpose	Start	End
To provide the second level of peer review. Discussion of NIMH program and policy issues, NIMH Director's report, and scientific presentations.	01/25/2018	01/25/2018
To provide the second level of peer review. Discussion of NIMH program and policy issues, NIMH Director's report, and scientific presentations.	05/17/2018	05/17/2018
To provide the second level of peer review. Discussion of NIMH program and policy issues, NIMH Director's report, and scientific presentations.	09/20/2018	09/20/2018

Number of Committee Meetings Listed: 3

	Current FY	Next FY
18a(1). Personnel Pmts to Non-Federal Members	\$9,000.00	\$9,000.00
18a(2). Personnel Pmts to Federal Members	\$0.00	\$0.00
18a(3). Personnel Pmts to Federal Staff	\$308,240.00	\$314,097.00
18a(4). Personnel Pmts to Non-Member Consultants	\$1,600.00	\$1,600.00
18b(1). Travel and Per Diem to Non-Federal Members	\$24,375.00	\$24,661.00
18b(2). Travel and Per Diem to Federal Members	\$0.00	\$0.00
18b(3). Travel and Per Diem to Federal Staff	\$0.00	\$0.00
18b(4). Travel and Per Diem to Non-member Consultants	\$7,954.00	\$8,053.00

18c. Other(rents,user charges, graphics, printing, mail, etc.)	\$49,990.00	\$50,991.00
18d. Total	\$401,159.00	\$408,402.00
19. Federal Staff Support Years (FTE)	2.20	2.20

20a. How does the Committee accomplish its purpose?

The committee is composed of both scientific and public members who provide the second level of peer review, advise on policy development, program implementation and evaluation, and identify research needs and opportunities of the Institute. The Institute received 8,167 applications (mental health primary or secondary) requesting \$10,176,614,643 in support during this reporting period. These applications were reviewed by initial review groups and were then considered by the Council prior to potential funding.

20b. How does the Committee balance its membership?

The National Advisory Mental Health Council is composed of 18 appointed members with research interests and responsibilities paralleling those of the National Institute of Mental Health. Twelve members are scientists who are outstanding medical or scientific authorities knowledgeable in the fields of mental health and mental disorders and six members are representatives of the general public who are leaders in the fields of public policy, law, health policy, economics, and management.

20c. How frequent and relevant are the Committee Meetings?

Meetings are usually held not less than three times each fiscal year. The Council met 3 times this year. The National Advisory Mental Health Council provides advice on a continuing basis on the type of scientific research programs that should be supported and on how to carry out the designated mission and responsibilities of the Institute. It also provides ongoing review of the relevance and effectiveness of the existing programs. Some members participated in other committee activities on 11/16/17, 11/28/17, 12/15/17, 1/30/18, 2/20/18, 3/8/18, 3/27/18, 5/14/18, 5/30/18, 6/22/18, 7/12/18, and 8/14/18.

20d. Why can't the advice or information this committee provides be obtained elsewhere?

The National Advisory Mental Health Council is composed of both scientific and public members who provide the second level of review for research and training grants and cooperative agreements; advise on policy development, program implementation and evaluation; and identify research needs and opportunities of the Institute. The quality, scope, and balance of advice from this Council cannot be obtained from NIH staff or from other established sources because the membership of the Council is constituted to meet the specific requirements of the mandated mission of the Council and of the Institute.

20e. Why is it necessary to close and/or partially closed committee meetings?

The meetings of the National Advisory Mental Health Council were closed to the public for the review of grant applications. Sections 552b(c)(4) and 552b(c)(6) of the Government in the Sunshine Act permit the closing of meetings where discussion could reveal confidential trade secrets or commercial property such as patentable material and personal information, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy.

21. Remarks

Committee Function: Other - National Scientific and Advisory. Dr. Jean Noronha serves as both the DFO and the Committee Decision Maker for this committee based on the assignment of responsibilities within this Institute. Reports- This committee did not produce any public reports during this reporting period. Administrative extensions: Members Brent, Hugarir, Linehan, Oquendo, and Un were administratively extended while new members and nominees were delayed in completing the appointment process.

Designated Federal Officer

Jean G. Noronha DIRECTOR, DIVISION OF EXTRAMURAL ACTIVITIES

Committee Members	Start	End	Occupation	Member Designation
AZAR II, ALEX	01/29/2018	01/20/2021	SECRETARY	Ex Officio Member
BENTON, TAMI	11/12/2017	09/30/2019	FREDERICK ALLEN CHAIR FOR PSYCHIATRY	Special Government Employee (SGE) Member
BLAKELY, RANDY	11/12/2017	09/30/2020	PROFESSOR	Special Government Employee (SGE) Member
BRENT, DAVID	05/05/2014	03/30/2018	ENDOWED CHAIR IN SUICIDE STUDIES AND PROFESSOR	Special Government Employee (SGE) Member
COLLINS, FRANCIS	08/16/2009	01/20/2021	DIRECTOR	Ex Officio Member
DRUSS, BENJAMIN	11/30/2014	09/30/2018	ROSALYNN CARTER CHAIR IN MENTAL HEALTH AND PROFESSOR	Special Government Employee (SGE) Member
GORDON, JOSHUA	12/22/2016	09/30/2018	DIRECTOR	Ex Officio Member
GOTLIB, IAN	11/12/2017	09/30/2020	DAVID STARR JORDAN PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
GREENBERG, ALAN	11/12/2017	09/30/2020	PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
HARGAN, ERIC	10/10/2017	01/28/2018	ACTING SECRETARY	Ex Officio Member
HENDERSON, DAVID	11/12/2017	09/30/2020	CHAIR	Special Government Employee (SGE) Member
HOGAN, MICHAEL	11/30/2014	09/30/2018	CONSULTANT AND ADVISOR	Special Government Employee (SGE) Member
HUGANIR, RICHARD	05/05/2014	03/30/2018	PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member
JAYCOX, LISA	11/12/2017	09/30/2020	SENIOR BEHAVIORAL SCIENTIST	Special Government Employee (SGE) Member
KILBOURNE, AMY	05/11/2017	09/30/2020	DIRECTOR	Ex Officio Member
KING, CHERYL	08/05/2018	09/30/2021	DIRECTOR AND PROFESSOR	Special Government Employee (SGE) Member
KRYSTAL, JOHN	05/01/2016	09/30/2019	ROBERT L. MCNEIL, JR. PROFESSOR OF TRANSLATIONAL RESEARCH	Special Government Employee (SGE) Member

LINEHAN, MARSHA	05/05/2014	03/30/2018	PROFESSOR AND DIRECTOR	Special Government Employee (SGE) Member
MILLER, GREGORY	11/12/2017	09/30/2020	PROFESSOR AND CHAIR	Special Government Employee (SGE) Member
NIV, Yael	08/15/2018	09/30/2021	ASSOCIATE PROFESSOR	Special Government Employee (SGE) Member
OQUENDO, MARIA	05/05/2014	03/30/2018	RUTH MELTZER PROFESSOR AND CHAIRMAN OF PSYCHIATRY	Special Government Employee (SGE) Member
PFLANZ, STEVEN	12/20/2017	09/30/2020	AIR FORCE DIRECTOR OF PSYCHOLOGICAL HEALTH	Ex Officio Member
RISCH, NEIL	08/05/2018	09/30/2021	DIRECTOR	Special Government Employee (SGE) Member
ROBINSON BEALE, RHONDA	05/01/2016	09/30/2019	SENIOR VICE PRESIDENT AND CHIEF MEDICAL OFFICER	Special Government Employee (SGE) Member
SAKS, ELYN	11/12/2017	09/30/2020	ORRIN B. EVANS PROFESSOR OF LAW	Special Government Employee (SGE) Member
STAGLIN, BRANDON	08/05/2018	09/30/2021	DIRECTOR OF MARKETING & COMMUNICATIONS	Special Government Employee (SGE) Member
UN, HYONG	05/05/2014	03/30/2018	CHIEF PSYCHIATRIC OFFICER	Special Government Employee (SGE) Member
WALSH, CHRISTOPHER	05/01/2016	09/30/2019	CHIEF	Special Government Employee (SGE) Member
WRIGHT, DON	09/29/2017	10/10/2017	ACTING SECRETARY	Ex Officio Member

Number of Committee Members Listed: 29

Narrative Description

The mission of the National Advisory Mental Health Council (Council) is mandated by law, Section 492 of the PHS Act. The law states: "The Secretary....shall by regulation require appropriate technical and scientific peer review of (A) applications...; and (B)...biomedical and behavioral research and development contracts..." The Council, which is composed of both scientific and public members, accomplishes this mission by: providing the second level of peer review; providing advice to the NIMH Director in targeted programmatic areas; advising on policy development as well as program implementation and evaluation; and identifying research needs and opportunities for the National Institute of Mental Health. In reference to peer review, the Institute received 8,167 applications (mental health primary or secondary), requesting \$10,176,614,643 in support during this reporting period. These applications were reviewed by initial review groups and were then considered by the Council prior to potential funding.

What are the most significant program outcomes associated with this committee?

Checked if Applies

Improvements to health or safety	<input checked="" type="checkbox"/>
Trust in government	<input checked="" type="checkbox"/>
Major policy changes	<input type="checkbox"/>
Advance in scientific research	<input checked="" type="checkbox"/>
Effective grant making	<input checked="" type="checkbox"/>

- | | |
|---|-------------------------------------|
| Improved service delivery | <input checked="" type="checkbox"/> |
| Increased customer satisfaction | <input type="checkbox"/> |
| Implementation of laws or regulatory requirements | <input type="checkbox"/> |
| Other | <input type="checkbox"/> |

Outcome Comments

NA

What are the cost savings associated with this committee?

Checked if Applies

- | | |
|----------------------------|-------------------------------------|
| None | <input type="checkbox"/> |
| Unable to Determine | <input checked="" type="checkbox"/> |
| Under \$100,000 | <input type="checkbox"/> |
| \$100,000 - \$500,000 | <input type="checkbox"/> |
| \$500,001 - \$1,000,000 | <input type="checkbox"/> |
| \$1,000,001 - \$5,000,000 | <input type="checkbox"/> |
| \$5,000,001 - \$10,000,000 | <input type="checkbox"/> |
| Over \$10,000,000 | <input type="checkbox"/> |
| Cost Savings Other | <input type="checkbox"/> |

Cost Savings Comments

NIH supported basic and clinical research accomplishments often take many years to unfold into new diagnostic tests and new ways to treat and prevent diseases.

What is the approximate Number of recommendations produced by this committee for the life of the committee?

125,278

Number of Recommendations Comments

The number of recommendations includes the second level review of grant applications for FY 2003 through FY2018.

What is the approximate Percentage of these recommendations that have been or will be Fully implemented by the agency?

0%

% of Recommendations Fully Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and

analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organizations and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

What is the approximate Percentage of these recommendations that have been or will be Partially implemented by the agency?

0%

% of Recommendations Partially Implemented Comments

Information on the research funded by NIH is available through the RePORT (Research Portfolio Online Reporting Tool) website. RePORT provides access to reports, data, and analyses of NIH research activities that advance the mission of the NIH, including information on NIH expenditures, strategic plans, reports on NIH funding, and reports on the organizations and people involved in NIH research and research training. The RePORT website is located at <http://report.nih.gov>.

Does the agency provide the committee with feedback regarding actions taken to implement recommendations or advice offered?

Yes ☒ No ☐ Not Applicable ☐

Agency Feedback Comments

The agency provides feedback at the Council meetings, in reports, and on the agency website.

What other actions has the agency taken as a result of the committee's advice or recommendation?

Checked if Applies

Reorganized Priorities	<input checked="" type="checkbox"/>
Reallocated resources	<input checked="" type="checkbox"/>
Issued new regulation	<input type="checkbox"/>
Proposed legislation	<input type="checkbox"/>
Approved grants or other payments	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

Action Comments

An action of "approved" or "recommended" for grants receiving review by this Council does not infer that the grant will be or has been funded. Research grant applications submitted to NIH must go through a two-step review process that includes the initial peer

review for scientific and technical merit and a second step of review and approval by a National Advisory Council that includes program relevance. In addition, prior to an award or funding being made, NIH staff must conduct an administrative review for a number of other considerations. These include alignment with NIH's funding principles, review of the project budget, assessment of the applicant's management systems, determination of applicant eligibility, and compliance with public policy requirements. After all these steps have been completed, NIH officials make funding decisions on individual grant applications. The Council reviews the portfolios of the Institute and makes recommendations about the areas of research to expand or decrease.

Is the Committee engaged in the review of applications for grants?

Yes

What is the estimated Number of grants reviewed for approval 8,167

What is the estimated Number of grants recommended for approval 8,167

What is the estimated Dollar Value of grants recommended for approval \$1,586,680,051

Grant Review Comments

These are the number of applications received and the dollar amount requested.

How is access provided to the information for the Committee's documentation?

Checked if Applies

Contact DFO	<input checked="" type="checkbox"/>
Online Agency Web Site	<input checked="" type="checkbox"/>
Online Committee Web Site	<input checked="" type="checkbox"/>
Online GSA FACA Web Site	<input checked="" type="checkbox"/>
Publications	<input type="checkbox"/>
Other	<input type="checkbox"/>

Access Comments

NA